



For financial advisers only

Re-registration transfer and fund class conversion process

This guide outlines the process we follow when we receive a re-registration transfer request.

No Do both providers hold the same fund class, even if it's not currently held by your client?

The current provider will either sell the investment and transfer as cash to the new provider, switch it to a different investment or retain the investment.

The current provider will convert the investment into a fund class the new provider can hold.

Yes

